

Implementation Status Summary of Fiscal 2023 Audit Recommendations

Audit Report Number and Title	Recommendation	Management Response	Progress Toward Implementation
96-1891 Audit of Data and Remittance Entry	No recommendations were offered for this audit.	Not Applicable	Not Applicable
96-1882 Audit of Fiscal Management Software Development and Maintenance	Six recommendations regarding compliance with agency policy, log-in warning banner, separation of duties, role-based access and procedures were provided.	Management agreed with the recommendations and developed measures to address the recommendations.	Management implemented three of the six audit recommendations, started implementation on one, and two are pending. Internal Audit will conduct follow-up on the recommendations in accordance with auditing standards.
96-1872 Audit of Tax Audits and Refund Verifications	No recommendations were offered for this audit.	Not Applicable	Not Applicable
96-1871 Audit of SPD Systems Administration and Support	Eight recommendations were identified for this audit, regarding compliance with agency policy, written procedures, system security plans, contract terms, contract deliverables and service levels, warning banner and change ticket verification.	Management agreed with the recommendations and developed measures to address the recommendations.	Management implemented the eight audit recommendations.
96-1868 Audit of Enforcement Collections Processes	Two recommendations were identified for this audit regarding change request workflow and password configuration compliance.	Management agreed with the recommendations and will develop measures to address the recommendations.	Management fully implemented the two audit recommendations.